

## Trinity Multi-Academy Trust Scheme of Delegated Authority

### KEY

Level 0: Members  
 Level 1: Board of Directors/Trustees (BoD)  
 Level 2: CEO/Accounting Officer  
 Level 3: Local Governing Body (LGB)  
 Level 4: A sub-group of the LGB  
 Level 5: Principal/Headteacher.

Note: Although decisions may be delegated, the members as a whole remain responsible for any decision made under delegation. Although the term 'academy' is used it should be noted that it is interchangeable with free school, school or any other educational organisation.

The Scheme of Delegated Authority (SoDA) is bespoke to each institution and is based on the assumption that an institution is currently rated a '1' or '2' in their most recent Ofsted inspection. If an institution is a '3' or '4' the members or BoD have the right to remove this level of autonomy for the benefit of the overall trust.

Key Function	No	Tasks	Decision Level					
			0	1	2	3	4	5
<b>Admissions</b>	1	Agree Admissions Policy		✓				
	2	Propose Admissions Policy				✓		
	3	Agree PAN annually		✓				
	4	Propose PAN annually				✓		
<b>Central Services</b>	5	Determine the scope of central services delivered by the MAT			✓			
	6	To ensure centrally provided services provide value for money			✓			
	7	To identify those additional services to be procured on behalf of individual academies						✓
<b>Commercial Ventures</b>	8	To approve and any proposed commercial venture (of educational or non-educational character) within the trust		✓				
	9	To cease any commercial venture (of educational or non-educational character) within the trust		✓				
	10	To monitor the impact (educational and financial) of any commercial venture within the trust e.g. teaching school			✓			
<b>Curriculum</b>	11	Approval of Curriculum Policy		✓				
	12	Propose and implement Curriculum Policy				✓		
	13	Responsibility for standards in Teaching and Learning						✓
	14	Approve a Sex Education Policy		✓				
	15	Propose a Sex Education Policy				✓		
	16	Arrangements for daily collective worship						✓

Key Function	No	Tasks	Decision Level					
			0	1	2	3	4	5
<b>Curriculum (continued)</b>	17	Responsibility for ensuring provision of Religious Studies in line with curriculum and academy's religious designation				✓		
	18	Approve and monitor individual student targets						✓
	19	Accountability for individual student education and achievement				✓		
	20	Responsibility for individual student education and achievement						✓
<b>Discipline and Exclusions</b>	21	Approve Behaviour for Learning (BfL) Policy		✓				
	22	Propose and Implement BfL Policy				✓		
	23	Exclude a student for more than 15 days or permanently (Principal or can delegate to Vice Principal/Acting Principal if out of building)						✓
	24	Review exclusion upon appeal (Independent Panel)					✓	
	25	Direct reinstatement of excluded students				✓		
<b>Extended Schools</b>	26	To decide to offer additional activities (and what form these should take)					✓	
	27	To cease providing extended school provision					✓	
	28	To implement the additional services provided						✓
<b>Finance</b>	29	Approve trust budget plans for the financial year		✓				
	30	Approve academy budget for financial year				✓		
	31	Prepare the academy budget plan for the financial year						✓
	32	Monitor trust budget termly		✓				
	33	Monitor academy budget termly					✓	
	34	Monitor monthly expenditure of budget spend						✓
	35	To approve a Charging and Remissions Policy		✓				
	36	To propose a Charging and Remissions Policy				✓		
	37	To agree signatories for budget holders in each institution						✓
	38	To approve trust virements and budget adjustments above £50,000		✓				
	39	To approve trust virements and budget adjustments up to £50,000			✓			
	40	To approve academy virements and budget adjustments above £50,000		✓				
	41	To approve academy virements and budget adjustments from £25,001 to £50,000			✓			
	42	To approve academy virements and budget adjustments from £5,001 to £25,000				✓		
	43	To approve academy virements and budget adjustments under £5,000						✓

Key Function	No	Tasks	Decision Level					
			0	1	2	3	4	5
<b>Finance (continued)</b>	44	Approval to order goods/services above the value of £50,000 (with 3 written quotes). ESFA approval may be needed for £100k and above; OJEU rules may also apply		✓				
	45	Approval to order goods/services between £25,001 and £50,000 (with 3 written quotes); OJEU rules may apply			✓			
	46	Approval to order goods/services between £5,001 and £25,000 (with 3 written quotes); OJEU rules may apply				✓		
	47	Approval to order goods and services up to the value of £5,000 (including entering contracts, with 3 written quotes required above £1,000)						✓
<b>Governance</b>	48	Approve changes to governance structures, Terms of Reference, Articles of Association or Funding Agreement		✓				
	49	Recommend changes to the trust's governance structure, Terms of Reference, Articles of Association and/or Funding Agreement				✓		
	50	Approve changes to the SoDA		✓				
	51	Recommend changes to the SoDA			✓			
	52	Approve requests from other schools to join the trust	✓					
	53	Recommend requests from other schools to join the trust		✓				
	54	Appoint the chair of the BoD	✓					
	55	Appoint the vice chair of the BoD		✓				
	56	Appoint the chairs of any trust committees		✓				
	57	Appoint the chairs of the LGBs				✓		
	58	Elect the chairs of academy LGB committees				✓		
	59	Appoint the Clerk to LGB			✓			
	60	Recruitment of Governors			✓			
	61	Designate link governors as appropriate e.g. Pupil Premium, SEN/D etc.				✓		
	62	Review performance of LGBs			✓			
	63	Suspend and/or dismiss members of LGB			✓			
	64	Set up Register of Business Interests for members	✓					
	65	Set up Register of Business Interests for directors		✓				
	66	Set up Register of Business Interests for governors				✓		
	67	Approve and set up Directors' Expenses Scheme		✓				
68	Approve and set up LGB Expenses Scheme				✓			
69	Appoint a Chief Executive Officer (CEO)/Accounting Officer		✓					
70	Appoint Company Secretary		✓					
71	Appoint external auditors for trust		✓					

Key Function	No	Tasks	Decision Level					
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<b>Governance (continued)</b>	72	Appoint internal auditors or Responsible Person via Audit Committee		✓				
	73	To hold a Full BoD meeting at least three times in a school year (or more often if required)		✓				
	74	Approve applications for significant changes to an open academy	✓					
	75	Approve changes impacting the ethos and vision of the trust	✓					
<b>Health and Safety</b>	76	Approve academy Business Continuity Policy		✓				
	77	Monitor implementation of academy Business Continuity Plans				✓		
	78	Approve Health and Safety Policy (including Fire Evacuation Procedures)		✓				
	79	Propose and implement Health and Safety Policy (including Fire Evacuation Procedures)				✓		
	80	Approve a Risk Management Plan		✓				
	81	Propose and implement Risk Management Plan			✓			
<b>Policies and practices</b>	82	Approve all policies*		✓				
	83	Propose policies to the BoD*			✓			
	84	Monitor operation of policies				✓		
	85	Propose policies to the CEO/Accounting Officer				✓		
	86	Propose policies to the LGB						✓
	87	Provision of appropriate buildings and other insurance (including all liability cover)			✓			
	88	Develop and approve trust capital strategy			✓			
	89	Develop and approve academy maintenance strategy					✓	
<b>Safeguarding</b>	90	To approve a Safeguarding Policy			✓			
	91	To implement and monitor a Safeguarding Policy						✓
	92	Complete and maintain a Single Central Record						✓
	93	Appoint a safeguarding governor		✓		✓		
<b>School Meals</b>	94	Monitor and ensure lunch nutritional standards are met				✓		
	95	Implement lunch nutritional standards						✓
	96	Ensure provision of Free School Meals to those students meeting the criteria						✓
<b>School Organisation</b>	97	Approve academy day, term dates and holidays			✓			
	98	Recommend academy day, term dates and holidays						✓
	99	Approve academy prospectus				✓		

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	100	To prepare and publish the academy prospectus						✓
	101	Adoption and review of Home-Academy Agreement						✓
	102	Ensure each academy meets the minimum requirement of school days in a year				✓		
<b>Staffing</b>	103	Academy Headteacher/Principal appointments			✓			
	104	Appointment of trust staff who directly support the BoD			✓			
	105	Appoint senior leaders in academies						✓
	106	Attendance on panel for appointment of academy senior leaders				✓		
	107	Appointment of any other staff in academies						✓
	108	Approve changes to trust staffing structure			✓			
	109	Approve changes to academy staffing structure					✓	
	110	Propose changes to academy staffing structure						✓
	111	Performance review of the CEO		✓				
	112	Suspend/dismiss the CEO		✓				
	113	Approve pay scale of the CEO		✓				
	114	Performance review of Executive Principal/Principal			✓			
	115	Suspend/dismiss a trust staff member who directly supports the BoD			✓			
	116	Suspend/dismiss an academy Headteacher/Principal			✓			
	117	Suspension/dismissal of all academy staff (not including the Headteacher/Principal)						✓
118	Approve any dismissal/severance/compensation payments			✓				
119	Performance review of all academy staff (not including the Headteacher/Principal)						✓	
<b>Strategy</b>	120	Approve and review progress of trust Improvement Plan		✓				
	121	Approve and review progress of each academy improvement plan (AIP)				✓		
	122	Review progress across trust of all AIPs			✓			
	123	Approve and monitor trust Strategic Risk Register		✓				
	124	Approve and monitor each academy Operational Risk Register				✓		

*\*A list of all current Trinity MAT and Academy policies is provided in Annex A (and copies of these policies are available from the relevant website). Where an academy is being incorporated into the trust then existing policies will remain in place until harmonisation with the trust and these will be available locally.*

*For clarity and ease of communication, certain key policies are still included in the SoDA e.g. Admissions, Charging and Remissions, Health and Safety and Sex Education.*

Further notes:

#### Conflicts of Interests Procedures

- All members of staff or Governors that join the trust are asked to sign a declaration of business and pecuniary interests form prior to starting their employment. Senior Leaders, Governors, Directors and Members are asked to complete a form annually and to inform the Chief Financial Officer if their business interests change within the year. The Chief Financial Officer will collate new registers as required upon new guidance from the ESFA or any other changes that may affect this process.

#### Connected Party Provisions

- All connected party transactions are completed at arm's length and can only be completed as per ESFA guidelines on an 'at cost' quote basis.

#### Outsourced Tendering Process

- If the trust outsources a tendering process to a third party it will only be done if it feels the level of skills and expertise would bring better knowledge about the requirements and more informed skillset regarding pricing negotiations. The Chief Financial Officer is ultimately responsible for deciding to outsource and will be the final decision maker on who to proceed the order with following informed meetings from the third party.

#### ESFA consent

- The academy should seek confirmation from the ESFA if it is ever unsure whether consent is required. Certain projects like capital investments, legislative consents and any planning permissions will be sought by the ESFA if required.

#### Three Quote Policy

- The Chief Financial Officer and the Accounting Officer of the Academy Trust have the authority to overrule the three quote rule if three quotes can't be identified due to the nature of the requirement (for example using the Times Educational Supplement to advertise teacher posts) or already committed services (for example ADT providing access, CCTV and intruder alarms, therefore using another provider to add to these services would require a full building change in these areas).

**Annex A - Policies**

<b>Statutory policies required by education legislation</b>						
<b>Name</b>	<b>Statutory or Recommended</b>	<b>Publish</b>	<b>Last Reviewed</b>	<b>Next Review</b>	<b>Owner (Trust or local academy)</b>	<b>Notes</b>
Charging & Remissions	Statutory	Y	Jan 2021	Jan 2024	Trust	
Behaviour for Learning Policy (Student Behaviour)	Statutory	Y	July 2021	July 2022	Local academy	
Sex Education	Statutory		July 2021	July 2022	Local academy	
Special Educational Needs	Statutory	Y	May 2021	May 2022	Trust	Overarching Trust policy with local arrangements and information
<b>Statutory policies required by other legislation</b>						
Health & Safety (inc Fire Evac)	Statutory		Nov 2020	Nov 22	Trust	
<b>Other statutory arrangements</b>						
Accessibility Plan	Statutory	Y	May 2021	May 2024	Trust	Overarching Trust policy with local arrangements and information
Accounts	Statutory	Y			Trust	
Admission Arrangements	Statutory	Y	Nov 2021	Nov 2022	Local academy	
Anti Bullying Policy	Non-Statutory	Y	Sept 2019	Sept 2023	Recommended	
Behaviour principles (written statement)	Statutory	Y			Local academy	
Child Protection and Safeguarding Policy	Statutory	Y	Sept 2021	Sept 2022	Trust	Overarching Trust policy with local arrangements and information
Complaints procedure	Statutory	Y	June 2021	June 2022	Trust	
Curriculum policies/information	Statutory	Y	July 2021	July 2022	Local academy	
Data Protection Policy	Statutory	Y	Feb 2022	Feb 2024	Trust	
Early Years Foundation Stage (EYFS)	Statutory				Local academy	

Equality information and objectives (public sector equality duty) statement for publication	Statutory	Y	Jan 2021	Jan 2024	Trust	
Exclusion Arrangements/Policy	Statutory	Y	Sept 2021	Sept 2022	Trust	
Exclusion Policy	Statutory	Y	Sept 2021	Sept 2022	Trust	
Freedom of Information	Statutory	Y			Trust	
Governors Allowances	Recommended		Sept 2021	Sept 2024	Trust	
Governors information and papers	Statutory	Y			Local academy	
Premises management documents	Statutory				Local academy	
Pupil Premium policy (strategy)	Statutory	Y			Local academy	
Pupil register and attendance	Statutory				Local academy	
Single Central Record	Statutory				Local academy	Based on Trust template
Supporting students with medical conditions	Statutory		Nov 2021	Nov 2022	Trust	Statutory for KS1-KS4. Overarching Trust policy with local arrangements and information
Values and Ethos	Statutory	Y	Nov 2021	Nov 2024	Trust	Overarching Trust policy with local arrangements and information
<b>Employment Policies</b>						
<b>Name</b>	<b>Statutory or Recommended</b>	<b>Last Reviewed</b>	<b>Next Review</b>	<b>Owner (Trust or local academy)</b>	<b>Notes</b>	
Allegations against staff	Statutory	Sept 2021	Sept 2022	Trust		
Attendance Management policy	Recommended	Jul 2020	Jul 2023	Trust		
Capability policy	Statutory	Sept 2019	Sept 2023	Trust		
Dignity at Work	Recommended	Dec 2020	Dec 2023	Trust		
Disciplinary policy	Statutory	March 2021	March 2022	Trust		
Equalities policy	Statutory	Jan 2021	Jan 2024	Trust		
Family Leave	Recommended	July 2020	July 2023	Trust		
Flexible Working policy	Recommended	Sept 2021	Sept 2024	Trust		
Grievance Policy	Statutory	Sept 2021	Sept 2022	Trust		
Internships policy	Recommended	Sept 2021	Sept 2024	Trust		
Leave of absence policy	Recommended	Jul 2029	July 2023	Trust		



Managing Staff Reductions (Redundancy)	Recommended	Mar 2021	Mar 2024	Trust	
Pay Policy	Statutory	Sept 2021	Sept 2022	Trust	
Performance Management (teachers & support)	Statutory	Jul 2021	July 2023	Trust	
Prevent Policy	Recommended	July 2021	July 2024	Trust	
Probation policy	Recommended	Jan 2021	Jan 2024	Trust	
Union recognition agreement	Recommended	TBC		Trust	
<b>Employment procedures – forming professional expectations of employment</b>					
<b>Name</b>	<b>Statutory or Recommended</b>	<b>Last Reviewed</b>	<b>Next Review</b>	<b>Owner</b>	<b>Notes</b>
Burgundy Book	Adopted	N/a		National document	
Cover and absence requests	Recommended	Annually		Local	
CPD Policy	Recommended	Sept 2021	Sept 2024	Local	Local arrangements made within main policy
Recruitment and selection policy	Statutory	Sept 2021	Sept 2024	Trust	
Staff code of conduct	Statutory	Jul 2021	Jul 2022	Trust	Amendments and insertions can be made to suit local arrangements
Acceptable use of ICT policy	Recommended	Feb 2022	Feb 2024	Trust	Local arrangements can be made within main policy
Volunteer Policy	Recommended	Sept 2021	Sept 2024	Trust	Amendments and insertions can be made to suit local arrangements
Whistleblowing policy	Statutory	Sept 2019	Sept 2022	Trust	
Working practices (info in staff planner)	Recommended	N/a		Local	Reviewed as part of producing the Staff Planner
Guidance on work placements	Recommended	Dec 2020	Dec 2023	Trust	Advice should be sought from local DSL
Keeping Children Safe in Education	Statutory	Sept 2021	Sept 2022	National document	The local safeguarding lead should be familiar with this document. Any changes to policy should be led by Trust safeguarding lead.
National terms and conditions for support staff ('Green Book')	Adopted	N/a	N/A	National document	For reference
WYPF Discretions Statement	Required	Oct 2021	Oct 2024	See notes	Requirement from WYPF

